N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: 10/803,050

Confirmation No.: 4608

First Named Inventor

: Ronald S. PLANTAN

Filed

: March 18, 2004

TC/A.U.

: 3683

Examiner Docket No. : Devon C. Kramer : 011351.52876US

Customer No.

: 23911

Title

: Disc Brake Located Outside Wheel Envelope

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.
after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (<i>Ex parte Quayle</i>) (whichever is earlier), and therefore Applicant is filing concurrently herewith:
a Statement under 37 C.F.R. § 1.97(e); or
a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).
after either a Final Office Action or a Notice of Allowance, bu

a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

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Atty. Docket No.: 011351.52876US PATENT

II.	Statement	Under 3	7 C F R	8 1 97(0)
11.	Statement	Under 3	1 U.F.R.	Q I.S/(e)

Documents were previously submitted in an Information Disclosure Statement filed on == in parent application Serial No from which this application claims a benefit
IV. Continuations/Divisionals/PCT National Stage Applications
Applicant submits the following explanations:
English translation(s) of the foreign language document(s) is/are submitted herewith.
English language abstract(s) is/are submitted for document(s)
English language equivalent publication(s) of document(s) is/are submitted herewith.
Copy of a corresponding foreign or international report citing document(s) <u>AC-AG</u> , together with an English-language version (if not already in English) of that portion of the report indicating the degree of relevance found by the foreign office.
The relevance of document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application.
☐ The following is a concise explanation of relevance of the non- English language documents listed in the attached Form PTO-1449:
III. Submission of Non-English Language Documents
I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

Atty. Docket No.: 011351.52876US PATENT

Copies of the references listed on the attached form PTO-1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 011351.52876US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

October 5, 2005

Mark H. Neblett

Registration No. 42,028

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500

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MHN:alw

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known			
Application Number	10/803,050		
Filing Date	March 18, 2004		
First Named Inventor	Ronald S. PLANTAN		
Art Unit	3683		
Examiner Name	Devon C. Kramer		
Attorney Docket Number	011351.52876US		

				U.S. PATENT I	DOCUMENTS	
Examiner Initials'	Cite No. ¹	Document Nur Number-Kind Code ² (if		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 4,450,069	A	09/10/1985	Bolenbaugh et al.	
	AB	US- 6,578,676	B1	06/17/2003	Lin	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	AC	DE 101 22 202 C1	12/12/2002	DaimlerChrysler AG		
9.11	AD	EP 0 856 679 B1	08/05/1998	WABCO Perrot Bremsen GmbH		
	AE	FR 2 616 712 A1	12/23/1988	Delmotte Didier Paul Jean.		
	AF	JP 08-20319	01/23/1996	Suzuki Motor Corp.		
	AG	JP 2001-107996	04/17/2001	Honda Motor Co.,Ltd.		

Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.